

UNITED STATES PATENT AND TRADEMARK OFFICE

25835-0014A-05

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/748,748	12/26/2000	Gerardo M. Castillo	10008-1184

D. Freyberg

 Heller Ehrman White & McAuliffe LLP
 275 Middlefield Road
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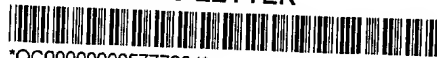
RECEIVED

FEB 27 2001

HEWM LLP - P.A.

CONFIRMATION NO. 4503

FORMALITIES LETTER



OC000000005777304

Date Mailed: 02/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$260.
 - \$180 for 10 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1100.

04/19/2001 BSAYAS11 00000021 09748748

 01 FC:101
 02 FC:102
 03 FC:103
 04 FC:105

 710.00 DP
 80.00 DP
 180.00 DP
 130.00 DP

 copy of this notice MUST be returned with the reply. Patent Docketing

HEWM LLP - Silicon Valley

 Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FEB 27 2001

 BY jl ACTION Missing Fee
 DUE 4/20/01
 REV. BY jl DEAD 8/20/01



~~SECRET~~

PATENTS

Attorney Docket No. 25835-0014A

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the US Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington DC 20231, on April 13, 2001.

Derek P. Freyberg
Derek P. Freyberg, Reg. No. 29,250

4/13/01
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gerardo M. Castillo et al. :

App. No.: 09/748,748 : Art Unit: 1614

Filed: December 26, 2000 : Examiner: (not yet known)

For: Polyhydroxylated aromatic compounds for the treatment of amyloidosis and α -synuclein diseases

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

TRANSMITTAL

Transmitted herewith for filing in the above-entitled patent application are the following:

1. Notice to File Missing Parts (Nonprovisional)
2. Executed Declaration (copy)
3. Assignment (copy) and Recordation Cover Sheet
4. Power of Attorney by Assignee and copy of Assignment
5. Request for Corrected Filing Receipt
6. Check (\$1140)

Also enclosed is a return postcard for receipt.

Fees

The following fees are authorized:

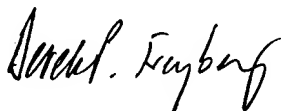
Filing fee	710.00
Fee for extra independent claims	80.00
Fee for extra total claims	180.00
Surcharge for late filing of declaration	130.00
Assignment recordation fee	40.00
Total fee	<u>\$1140.00</u>

Our check for **\$1140.00** is enclosed

Deposit Account Authorization

Please charge any additional fees that may be required, or credit any overpayment to Deposit Account No. 08-1641, referring to 25835-0014A. This is not, however, an authorization to pay the issue fee. A duplicate of this document is enclosed.

Respectfully submitted,



Derek P. Freyberg
Attorney for Applicant
Reg. No. 29,250

Heller Ehrman White & McAuliffe LLP
275 Middlefield Road
Menlo Park CA 94025-3506
(650) 324-7014
April 13, 2001



PATENTS

Attorney Docket No. 25835-0014A

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gerardo M. Castillo et al. :

App. No.: 09/748,748 : Art Unit: 1614

Filed: December 26, 2000 : Examiner: (not yet known)

For: Polyhydroxylated aromatic compounds for the treatment of amyloidosis and α -synuclein diseases

Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTION OF FILING RECEIPT

Applicant respectfully requests that an error in the attorney docket number be corrected, and the benefit claim be added, on the Filing Receipt for this application as shown on the attached marked-up copy of the Filing Receipt.

Issuance of a corrected Filing Receipt is requested.

Respectfully submitted,

Derek P. Freyberg
Derek P. Freyberg
Attorney for Applicants
Reg. No. 29,250

Heller Ehrman White & McAuliffe LLP
275 Middlefield Road
Menlo Park CA 94025-3506
(650) 324-7014
April 13, 2001



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/748,748	12/26/2000	1614	0.00	10008-1184 25835-0014A		30	4

CONFIRMATION NO. 4503

FILING RECEIPT



OC000000005777303

Heller Ehrman White & McAuliffe LLP
275 Middlefield Road
Menlo Park, CA 94025-2932

Date Mailed: 02/20/2001

Receipt is acknowledged for this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gerardo M. Castillo, Seattle, WA;
Paula Y. Choi, Bothell, WA;
Alan D. Snow, Lynnwood, WA;

Continuing Data as Claimed by Applicant

THIS APPLICATION CLAIMS BENEFIT OF 60/173,958 12/30/1999

Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No ~~04/19/2001 BSAYAS11 00000021 09748748~~

Early Publication Request: No

01 FC:101	710.00 OP
02 FC:102	80.00 OP
03 FC:103	180.00 OP
04 FC:105	130.00 OP

Title

Polyhydroxylated aromatic compounds for the treatment of amyloidosis and alpha-synuclein fibril diseases

Preliminary Class

514